

Uniform observability for the 1D wave equation

Application to the optimization of the control's support

Journées Contrôle & Applications

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State system

Let $\Omega = (0, 1)$, $T > 0$ and consider

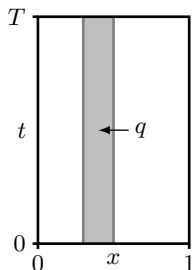
$$\begin{cases} \partial_{tt}y - \partial_{xx}y = u\mathbb{1}_q & \text{in } Q := \Omega \times (0, T), \\ y = 0 & \text{on } \Sigma := \partial\Omega \times (0, T), \\ (y, \partial_t y)(\cdot, 0) = (y^0, y^1) & \text{in } \Omega. \end{cases}$$

Let $\mathbf{V} := H_0^1(\Omega) \times L^2(\Omega)$ and $\mathbf{W} := L^2(\Omega) \times H^{-1}(\Omega)$.

Null controllability

For $q \subset Q$ open, the state system is said to be *null controllable* iff

$$\forall \mathbf{y}^0 \in \mathbf{V}, \quad \exists u \in L^2(q), \quad (y, \partial_t y)(\cdot, T; \mathbf{y}^0, u) = (0, 0).$$



State system

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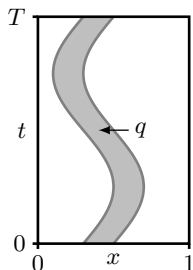
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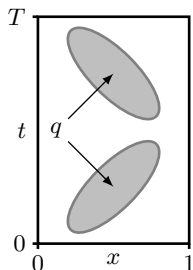
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State system

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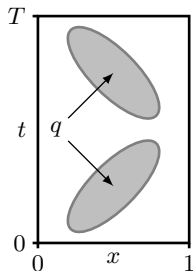
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Adjoint system

For $\varphi^0 \in \mathbf{W}$, consider

$$\begin{cases} \partial_{tt}\varphi - \partial_{xx}\varphi = 0 & \text{in } Q, \\ \varphi = 0 & \text{on } \Sigma, \\ (\varphi, \partial_t\varphi)(\cdot, 0) = (\varphi^0, \varphi^1) & \text{in } \Omega. \end{cases}$$



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Observability

For $q \subset Q$ open, the adjoint system is said to be *observable* if there exists $C_{\text{obs}}(q) > 0$ such that

$$\|\varphi^0\|_{\mathbf{W}}^2 \leq C_{\text{obs}}(q) \|\varphi\|_{L^2(q)}^2, \quad \forall \varphi^0 \in \mathbf{W} := L^2(\Omega) \times H^{-1}(\Omega). \quad (\text{Obs}_{\mathbf{W}})$$

Controllability \iff Observability

The state system is *null controllable* iff the adjoint system is *observable*.

An equivalent inequality

Inequality $(\text{Obs}_{\mathbf{W}})$ is equivalent to the following inequality,

$$\|\varphi^0\|_{\mathbf{V}}^2 \leq C_{\text{obs}}(q) \|\partial_t \varphi\|_{L^2(q)}^2, \quad \forall \varphi^0 \in \mathbf{V} := H_0^1(\Omega) \times L^2(\Omega). \quad (\text{Obs}_{\mathbf{V}})$$

Characteristic lines

For $x_0 \in \bar{\Omega}$, the characteristic lines starting from x_0 are

$$C_{x_0}^{\pm} := \left\{ (x, t) \in \mathbb{R}^2; \quad x = |m(x_0 \pm t)| \right\},$$

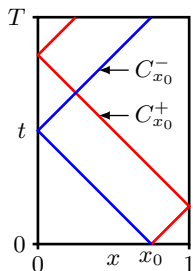
where $m(x) := x - 2k$ for $x \in (2k - 1, 2k + 1]$, $k \in \mathbb{Z}$.

Geometric Control Condition (GCC)

An open set $q \subset Q$ satisfies (GCC) if for all $x_0 \in \bar{\Omega}$, the characteristic lines $C_{x_0}^{\pm}$ meet q .

Observability \iff (GCC)

- n -D cylindrical case : [Bardos *et al.* (92)]
- 1D non-cylindrical case : [Castro *et al.* (14)]
- n -D non-cylindrical case : [Le Rousseau *et al.* (17)]



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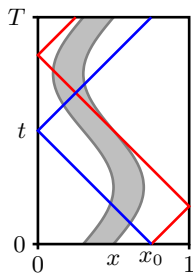
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$$(\text{Adj}) \begin{cases} \partial_{tt}\varphi - \partial_{xx}\varphi = 0 & \text{in } Q \\ \varphi = 0 & \text{on } \Sigma \\ (\varphi, \partial_t\varphi)(\cdot, 0) = (\varphi^0, \varphi^1) & \text{in } \Omega \end{cases}$$

Uniform (w.r.t. q) observability inequality

Let $\mathcal{Q}_{\text{ad}} \subset \{q \subset Q; (\text{GCC}) \text{ holds for } q\}$. We want to find an observability constant that is uniform on \mathcal{Q}_{ad} , i.e. find $\bar{C}_{\text{obs}} > 0$ such that for all $q \in \mathcal{Q}_{\text{ad}}$,

$$\|\varphi^0\|_{\mathbf{V}}^2 \leq \bar{C}_{\text{obs}} \|\partial_t\varphi\|_{L^2(q)}^2, \quad \forall \varphi^0 \in \mathbf{V}.$$

In the sequel,

- first, we recall a result for the cylindrical case;
- then, we present a new result for the non-cylindrical case.

1 Introduction

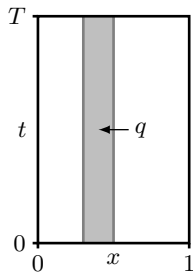
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- Observability
- Uniform observability

2 Cylindrical support

3 Non-cylindrical support

4 Optimization of the support

- Optimization problem
- Simulations

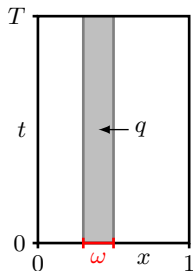


Admissible supports

Let $\delta > 0$ and consider

$$\mathcal{Q}_{\text{ad}}^{\delta} := \left\{ q = \omega \times (0, T); \quad \omega \subset \Omega, \quad |\omega| = \delta \right\}.$$

For $T \geq 2$, (GCC) holds for all $q \in \mathcal{Q}_{\text{ad}}^{\delta}$.



Uniform observability [Periago (09)]

Let $\delta > 0$. For $T \geq 2$, we set $\bar{C}_{\text{obs}} = \left(\lfloor T/2 \rfloor \delta (1 - \text{sinc}(\pi\delta)) \right)^{-1}$,
where $\text{sinc}(x) = \frac{\sin(x)}{x}$ if $x \neq 0$ and $\text{sinc}(0) = 1$. Then, for all $q \in \mathcal{Q}_{\text{ad}}^{\delta}$,

$$\|\varphi^0\|_{\mathbf{V}}^2 \leq \bar{C}_{\text{obs}} \|\partial_t \varphi\|_{L^2(q)}^2, \quad \forall \varphi^0 \in \mathbf{V}.$$

Let $\delta > 0$, $T \geq 2$ and $q \in \mathcal{Q}_{\text{ad}}^\delta$.

For $\varphi^0 \in \mathbf{V}$, we expand $\varphi^0(x) = \sum_{p \geq 1} a_p \sin(p\pi x)$ and $\varphi^1(x) = \sum_{p \geq 1} b_p \sin(p\pi x)$.

It follows that $\varphi(x, t) = \sum_{p \geq 1} \left(a_p \cos(p\pi t) + \frac{b_p}{p\pi} \sin(p\pi t) \right) \sin(p\pi x)$. Then,

$$\begin{aligned} \|\partial_t \varphi\|_{L^2(q)}^2 &= \int_0^T \int_\omega |\partial_t \varphi|^2 \geq \int_0^{2\lfloor \frac{T}{2} \rfloor} \int_\omega |\partial_t \varphi|^2 = \lfloor T/2 \rfloor \int_0^2 \int_\omega |\partial_t \varphi|^2 \\ &= \lfloor T/2 \rfloor \sum_{p \geq 1} \left((p\pi)^2 |a_p|^2 + |b_p|^2 \right) \int_\omega \sin^2(p\pi x) dx. \end{aligned}$$

Lemma

For any $\omega \subset \Omega$ with $|\omega| = \delta$, $\inf_{p \geq 1} \int_\omega \sin^2(p\pi x) dx \geq \frac{\delta}{2} (1 - \text{sinc}(\pi\delta))$.

Using that $\|\varphi^0\|_{\mathbf{V}}^2 = \frac{1}{2} \sum_{p \geq 1} \left((p\pi)^2 |a_p|^2 + |b_p|^2 \right)$, we find

$$\|\partial_t \varphi\|_{L^2(q)}^2 \geq \lfloor T/2 \rfloor \delta (1 - \text{sinc}(\pi\delta)) \|\varphi^0\|_{\mathbf{V}}^2.$$

1 Introduction

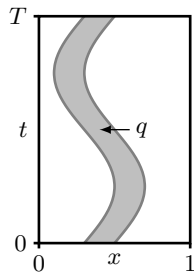
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Admissible supports

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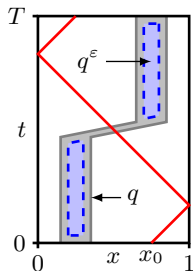
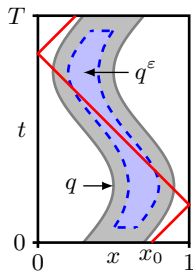
$$Q_{\text{ad}}^\varepsilon := \left\{ q \subset Q; \quad (\text{GCC}) \text{ holds for } q^\varepsilon \right\},$$

where q^ε is the ε -interior of q .

For all $q \in Q_{\text{ad}}^\varepsilon$ and for any characteristic line $C_{x_0}^\pm$, the intersection $q \cap C_{x_0}^\pm$ has at least length ε .

Pathological case

We want to avoid the case where $q \cap C_{x_0}^\pm$ has arbitrarily small length, causing $C_{\text{obs}}(q)$ to be arbitrarily large.



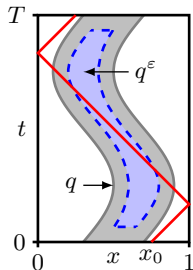
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Uniform observability [B. et al. (21)]

Let $\varepsilon > 0$. There exists $\bar{C}_{\text{obs}} > 0$ such that for all $q \in \mathcal{Q}_{\text{ad}}^\varepsilon$,

$$\|\varphi^0\|_{\mathbf{V}}^2 \leq \bar{C}_{\text{obs}} \|\partial_t \varphi\|_{L^2(q)}^2, \quad \forall \varphi^0 \in \mathbf{V}.$$

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Reformulation

For $q \in \mathcal{Q}_{\text{ad}}^\varepsilon$, we define the positive symmetric bilinear form

$$\mathfrak{F}(\varphi^0, \bar{\varphi}^0) := \iint_q \partial_t \varphi \partial_t \bar{\varphi}, \quad \forall \varphi^0, \bar{\varphi}^0 \in \mathbf{V}.$$

Then, the uniform observability property is equivalent to the following problem.

Find $\bar{c} > 0$ such that for all $q \in \mathcal{Q}_{\text{ad}}^\varepsilon$,

$$\mathfrak{F}(\varphi^0, \varphi^0) \geq \bar{c} \|\varphi^0\|_{\mathbf{V}}^2, \quad \forall \varphi^0 \in \mathbf{V}.$$

Problem

$$\mathfrak{F}(\varphi^0, \bar{\varphi}^0) := \iint_q \partial_t \varphi \partial_t \bar{\varphi}, \quad \forall \varphi^0, \bar{\varphi}^0 \in \mathbf{V}$$

Find $\bar{\mathcal{C}} > 0$ such that for all $q \in \mathcal{Q}_{\text{ad}}^\varepsilon$, $\mathfrak{F}(\varphi^0, \varphi^0) \geq \bar{\mathcal{C}} \|\varphi^0\|_{\mathbf{V}}^2, \quad \forall \varphi^0 \in \mathbf{V}.$

Sketch of the proof

- Let $q \in \mathcal{Q}_{\text{ad}}^\varepsilon$ and \mathfrak{F} the associated form.
- Using a discretization of Ω , we define a new form \mathfrak{F}_N such that

$$\mathfrak{F}(\varphi^0, \varphi^0) \geq \mathfrak{F}_N(\varphi^0, \varphi^0), \quad \forall \varphi^0 \in \mathbf{V}.$$

- We build an orthonormal basis of \mathbf{V} that is orthogonal for \mathfrak{F}_N after a certain rank.
- It reduces the problem to find $\bar{\mathcal{C}} > 0$ independent of q such that

$$\mathfrak{F}_N(\varphi^0, \varphi^0) \geq \bar{\mathcal{C}} \|\varphi^0\|_{\mathbf{V}}^2, \quad \forall \varphi^0 \in \mathbf{V}_N,$$

where \mathbf{V}_N is a finite-dimensional subspace of \mathbf{V} .

- We conclude using that (GCC) holds for q^ε .

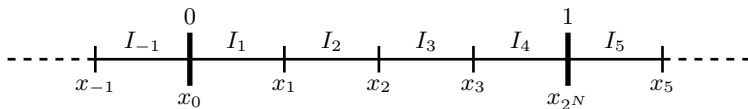
Goal

We want to define a new form \mathfrak{F}_N such that $\mathfrak{F} \geq \mathfrak{F}_N$.

Discretization of Ω

Let $N \in \mathbb{N}$ such that $2^N > 1/\varepsilon$. We set $h = 1/2^N$ and $S_N = (x_k)_{0 \leq k \leq 2^N}$ the regular subdivision of $\overline{\Omega}$ in 2^N intervals, i.e. $x_k = kh$. We also set

$$I_k := \begin{cases} [x_{k-1}, x_k] & \text{if } k > 0, \\ [x_k, x_{k+1}] & \text{if } k < 0, \end{cases} \quad \forall k \in \mathbb{Z}^*.$$

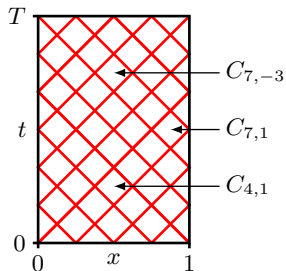


Decomposition of Q

For $i, j \in \mathbb{Z}^*$, we define the elementary square $C_{i,j}$ of indices (i, j) by

$$C_{i,j} := \left\{ (x, t) \in \mathbb{R}^2; \quad x + t \in I_i, \quad x - t \in I_j \right\}.$$

We also set $\mathcal{C}_N = \{C_{i,j}; i, j \in \mathbb{Z}^*\}$ the set of elementary squares adapted to S_N .



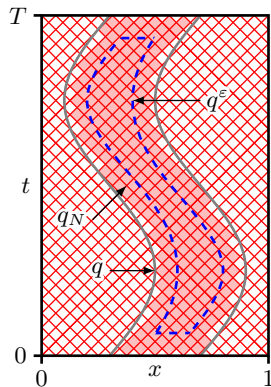
Decomposition of q

We set $\mathcal{C}_N(q) := \{C_{i,j} \in \mathcal{C}_N; \overset{\circ}{C}_{i,j} \subset q\}$ and

$$q_N := \overbrace{\bigcup_{C_{i,j} \in \mathcal{C}_N(q)} C_{i,j}}^{\circ}$$

Lemma (require $2^N > 1/\varepsilon$)

We have $q^\varepsilon \subset q_N \subset q$, so (GCC) holds for q_N .



New form

$$\mathfrak{F}(\varphi^0, \bar{\varphi}^0) := \iint_q \partial_t \varphi \partial_t \bar{\varphi}, \quad \forall \varphi^0, \bar{\varphi}^0 \in V$$

We define the positive symmetric bilinear form

$$\mathfrak{F}_N(\varphi^0, \bar{\varphi}^0) := \iint_{q_N} \partial_t \varphi \partial_t \bar{\varphi}, \quad \forall \varphi^0, \bar{\varphi}^0 \in V.$$

Since $q_N \subset q$, we have

$$\mathfrak{F}(\varphi^0, \varphi^0) \geq \mathfrak{F}_N(\varphi^0, \varphi^0), \quad \forall \varphi^0 \in V.$$

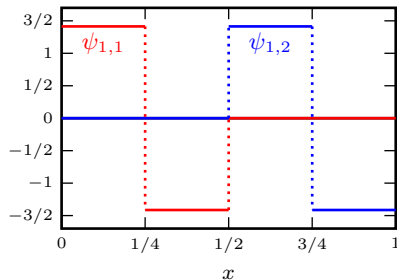
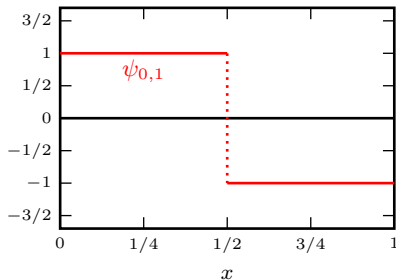
Goal

We want to build an ONB of V that is orthogonal for \mathfrak{F}_N after a certain rank.

Haar wavelet basis [Haar (1910)]

Let the mother function $\psi = \mathbb{1}_{[0,1/2]} - \mathbb{1}_{[1/2,1]}$ and the scaling function $\psi_0 = \mathbb{1}_{[0,1]}$.
 We set $\psi_{n,k}(x) = 2^{n/2}\psi(2^n x - k + 1)$ for $n \in \mathbb{N}$ and $1 \leq k \leq 2^n$.

Then, $\mathcal{B} := \{\psi_0, \psi_{n,k}, n \in \mathbb{N}, 1 \leq k \leq 2^n\}$ is an ONB of $L^2(\Omega)$.

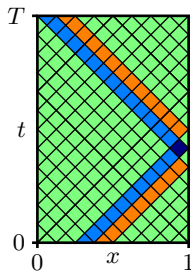
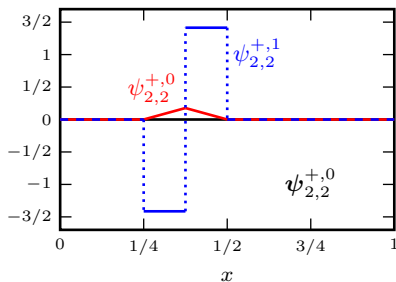


An orthonormal basis of V

We set $\psi_0^0(x) := 0$, $\psi_0^1(x) := \psi_0(x)$ and

$$\psi_{n,k}^{\pm,0}(x) := \frac{1}{\sqrt{2}} \int_0^x \psi_{n,k}, \quad \psi_{n,k}^{\pm,1}(x) := \mp \frac{1}{\sqrt{2}} \psi_{n,k}(x), \quad n \in \mathbb{N}, 1 \leq k \leq 2^n.$$

Then, $\mathcal{B} := \{\psi_0^0, \psi_{n,k}^{\pm,0}, n \in \mathbb{N}, 1 \leq k \leq 2^n\}$ is an ONB of V .



$\partial_t \varphi$ for φ sol. of (Adj) asso. with $\psi_{2,2}^{+,0}$.

Lemma (based on d'Alembert formula)

For $n \geq N$, $1 \leq k \leq 2^n$ and $s \in \{+, -\}$, we have

$$\mathfrak{F}_N(\psi_{n,k}^{s,0}, \psi^0) = 0, \quad \forall \psi^0 \in \mathcal{B}, \quad \psi^0 \neq \psi_{n,k}^{s,0}.$$

Finite-dimensional subspace V_N of V

We set $\mathcal{B}_N := \{\psi_0^0, \psi_{n,k}^{\pm,0}, n < N, 1 \leq k \leq 2^n\}$ and $V_N := \text{Vect}(\mathcal{B}_N)$.

New problem in finite dimension

For $\varphi^0 \in V = V_N \oplus \tilde{V}$, we decompose $\varphi^0 = \varphi_N^0 + \tilde{\varphi}^0$ and we have

$$\mathfrak{F}_N(\varphi^0, \varphi^0) = \mathfrak{F}_N(\varphi_N^0, \varphi_N^0) + \mathfrak{F}_N(\tilde{\varphi}^0, \tilde{\varphi}^0).$$

We easily find $\bar{\mathfrak{C}} > 0$ independent of q (and $\tilde{\varphi}^0$) such that $\mathfrak{F}_N(\tilde{\varphi}^0, \tilde{\varphi}^0) \geq \bar{\mathfrak{C}} \|\tilde{\varphi}^0\|_V^2$.

So we now need to find $\bar{\mathfrak{C}} > 0$ independent of q such that

$$\mathfrak{F}_N(\varphi^0, \varphi^0) \geq \bar{\mathfrak{C}} \|\varphi^0\|_V^2, \quad \forall \varphi^0 \in V_N.$$

Lemma (based on d'Alembert formula)

For $\varphi^0 \in \mathbf{V}_N$, we expand $(\varphi^0)'(x) = \sum_{p=1}^{2^N} \alpha_p \mathbb{1}_{I_p}(x)$ and $\varphi^1(x) = \sum_{p=1}^{2^N} \beta_p \mathbb{1}_{I_p}(x)$.

For $1 \leq p \leq 2^N$, we set $\gamma_p^\pm = \alpha_p \pm \beta_p$. We then show that

$$\mathfrak{F}_N(\varphi^0, \varphi^0) = \frac{h^2}{8} \sum_{C_{i,j} \in \mathcal{C}_N(q)} \left(\gamma_{p_i}^{s_i} - \gamma_{p_j}^{s_j} \right)^2.$$

Conclusion

Since $q \in \mathcal{Q}_{\text{ad}}^\varepsilon$ and $q^\varepsilon \subset q_N$, (GCC) holds for q_N .

If $\varphi^0 \in \mathbf{V}_N$ is such that $\mathfrak{F}_N(\varphi^0, \varphi^0) = 0$, using that $q_N \cap C_{x_k}^\pm \neq \emptyset$, we show that $\gamma_p^\pm = 0$ for all p and we deduce $\varphi^0 = 0$. Hence, \mathfrak{F}_N is positive definite and there exists $\bar{\mathfrak{E}}_{q_N} > 0$ such that

$$\mathfrak{F}_N(\varphi^0, \varphi^0) \geq \bar{\mathfrak{E}}_{q_N} \|\varphi^0\|_{\mathbf{V}}^2, \quad \forall \varphi^0 \in \mathbf{V}_N.$$

Since the set of possible q_N is finite, we conclude by setting $\bar{\mathfrak{E}} := \min_{q_N} \bar{\mathfrak{E}}_{q_N} > 0$.

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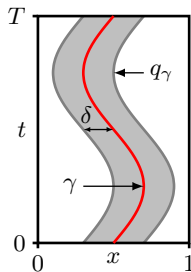
Admissible supports

Let $\delta > 0$ and $M > 0$. We consider supports of the form

$$q_\gamma := \left\{ (x, t) \in Q; \quad |x - \gamma(t)| < \delta \right\}, \quad \forall \gamma \in \mathcal{G}_{\text{ad}},$$

where $\mathcal{G}_{\text{ad}} := \left\{ \gamma \in W^{1,\infty}(0, T); \quad \|\gamma'\|_{L^\infty} \leq M \right\}$.

For all $\gamma \in \mathcal{G}_{\text{ad}}$, we have $q_\gamma \in \mathcal{Q}_{\text{ad}}^\varepsilon$ for $\varepsilon = \frac{\delta}{4\sqrt{M^2+1}}$.

Increased control regularity [Ervedoza *et al.* (10)]

To gain a more regular control, in the state system, we substitute

$$\mathbb{1}_{q_\gamma}(x, t) = \mathbb{1}_{(-\delta, \delta)}(x - \gamma(t)) \quad \text{by} \quad \chi_\gamma(x, t) = \chi(x - \gamma(t)),$$

where $\chi \in C^2(\mathbb{R})$ and $\text{Supp}(\chi) = [-\delta, \delta]$.

$$(\text{Sta}) \begin{cases} \partial_{tt}y - \partial_{xx}y = u\chi_\gamma & \text{in } Q \\ y = 0 & \text{on } \Sigma \\ (y, \partial_t y)(\cdot, 0) = (y^0, y^1) & \text{in } \Omega \end{cases}$$

Optimization problem

For $\mathbf{y}^0 \in \mathbf{V}$ fixed, consider

$$\min_{\gamma \in \mathcal{G}_{\text{ad}}} J(\gamma), \quad \text{with} \quad J(\gamma) := \|u\|_{L^2_X(q_\gamma)}^2 = \iint_{q_\gamma} \varphi^2 \chi_\gamma,$$

and where $u = -\varphi|_{q_\gamma}$ is the control of minimal L^2 -norm associated with \mathbf{y}^0 and q_γ .

Continuity of the support w.r.t. γ

Let $(\gamma_n)_{n \geq 0} \subset \mathcal{G}_{\text{ad}}$ and $\gamma \in \mathcal{G}_{\text{ad}}$. If $\gamma_n \rightarrow \gamma$ in $L^\infty(0, T)$, then $\chi_{\gamma_n} \rightarrow \chi_\gamma$ in $L^\infty(Q)$.

Continuity of J (use the uniform observability on $\mathcal{Q}_{\text{ad}}^\varepsilon$)

The functional J is continuous on \mathcal{G}_{ad} for the $L^\infty(0, T)$ norm.

Existence of a minimum point for J

The functional J admit a minimum point on \mathcal{G}_{ad} .

Note that this minimum point is a priori not unique.

Directional derivative of J

The directional derivative of J at γ in the direction $\bar{\gamma}$ can be written

$$dJ(\gamma; \bar{\gamma}) = \int_0^T \bar{\gamma} \int_\Omega \varphi^2 \chi'_\gamma, \quad \text{with } \chi'_\gamma(x, t) = \chi'(x - \gamma(t)).$$

“Numerical” optimization problem

Let $\eta > 0$. For $\mathbf{y}^0 \in \mathbf{V}$ fixed, consider

$$\min_{\gamma \in W^{1,\infty}} J_\eta(\gamma), \quad \text{with } J_\eta(\gamma) := J(\gamma) + \frac{\eta}{2} \|\gamma'\|_{L^2(0,T)}^2.$$

The role of η is similar to the one of M in \mathcal{G}_{ad} .

The problem is solved with a fixed-step gradient-descent algorithm.

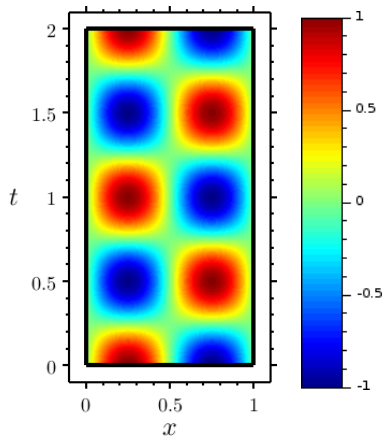
$$\text{For } \rho > 0 \text{ fixed, } \begin{cases} \gamma^0 \in W^{1,\infty}(0,T) \text{ given,} \\ \gamma^{n+1} = \gamma^n - \rho j_{\gamma^n}^n, \quad \forall n \geq 0. \end{cases}$$

Descent direction

We set $j_\gamma(t) = \int_\Omega \varphi^2(x,t) \chi'_\gamma(x,t) dx$. A descent direction for J_η is found by solving

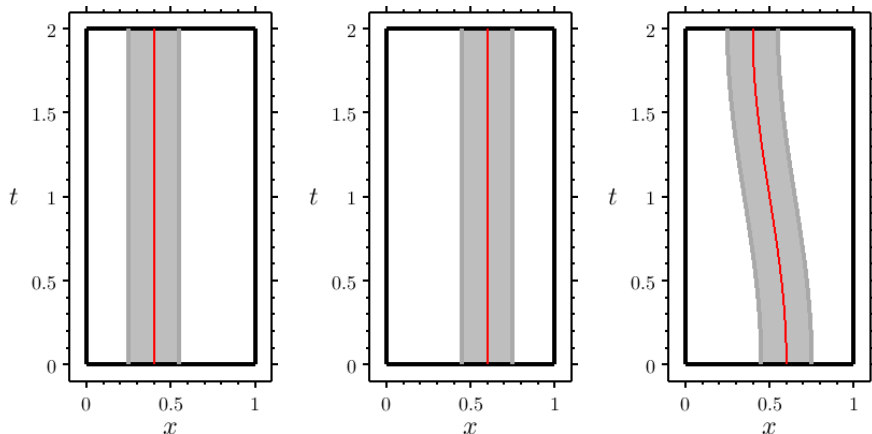
$$\langle j_\gamma^n, \tilde{\gamma} \rangle_{L^2} + \eta \langle j_{\gamma^n}^n, \tilde{\gamma}' \rangle_{L^2} = \langle j_\gamma, \tilde{\gamma} \rangle_{L^2} + \eta \langle \gamma', \tilde{\gamma}' \rangle_{L^2}, \quad \forall \tilde{\gamma} \in H^1(0,T).$$

$$T = 2, \delta = 0.15, \quad y^0(x) = \sin(2\pi x), y^1(x) = 0$$



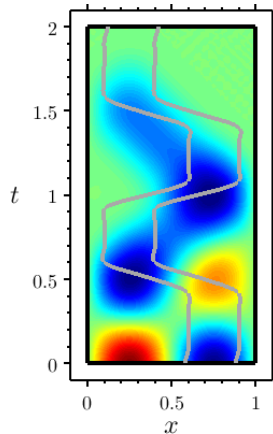
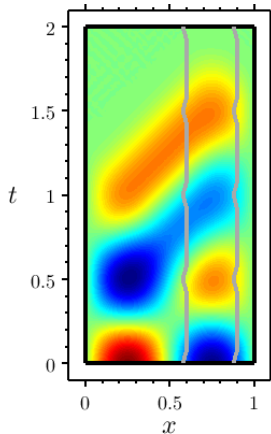
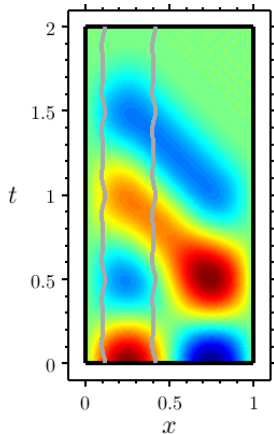
Uncontrolled solution y of (Sta).

$$T = 2, \delta = 0.15, \quad y^0(x) = \sin(2\pi x), \quad y^1(x) = 0$$



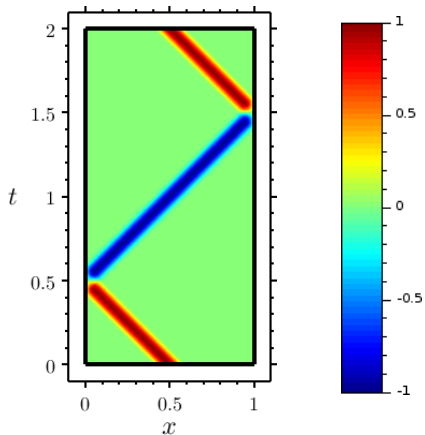
Supports associated with 3 initial curves γ_i^0 .

$$T = 2, \delta = 0.15, \quad y^0(x) = \sin(2\pi x), y^1(x) = 0$$



Supports associated with the optimal curves γ_i^* .

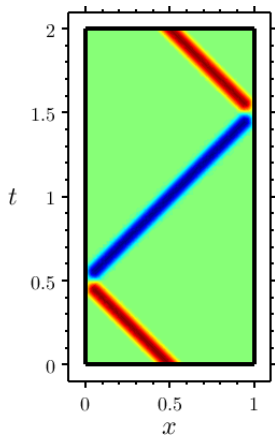
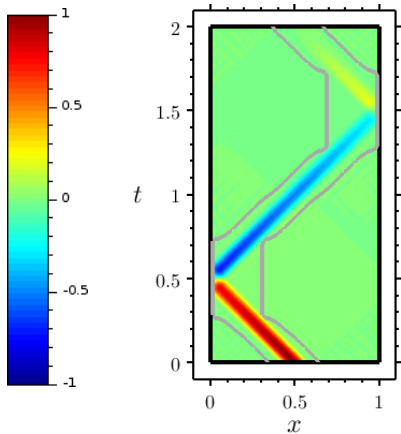
$$T = 2, \delta = 0.15, \quad y^0(x) = (10x - 4)^2(10x - 6)^2 \mathbb{1}_{[0.4, 0.6]}(x), \quad y^1(x) = (y^0)'(x)$$



Uncontrolled solution y of (Sta).

Convergence towards the optimal support.

$$T = 2, \delta = 0.15, \quad y^0(x) = (10x - 4)^2(10x - 6)^2 \mathbb{1}_{[0.4, 0.6]}(x), \quad y^1(x) = (y^0)'(x)$$

Uncontrolled solution y of (Sta).Support associated with the optimal curve γ^* .

Merci pour votre attention

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*Optimization of non-cylindrical domains for the exact null controllability
of the 1D wave equation*

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