

A MAXIMALITY RESULT FOR ORTHOGONAL QUANTUM GROUPS

TEODOR BANICA, JULIEN BICHON, BENOÎT COLLINS, AND STEPHEN CURRAN

ABSTRACT. We prove that the quantum group inclusion $O_n \subset O_n^*$ is “maximal”, where O_n is the usual orthogonal group and O_n^* is the half-liberated orthogonal quantum group, in the sense that there is no intermediate compact quantum group $O_n \subset G \subset O_n^*$. In order to prove this result, we use: (1) the isomorphism of projective versions $PO_n^* \simeq PU_n$, (2) some maximality results for classical groups, obtained by using Lie algebras and some matrix tricks, and (3) a short five lemma for cosemisimple Hopf algebras.

INTRODUCTION

Quantum groups were introduced by Drinfeld [13] and Jimbo [15] in order to study “non-classical” symmetries of complex systems. This was followed by the fundamental work of Woronowicz [21], [22] on compact quantum groups. The key examples which were constructed by Drinfeld and Jimbo, and further analyzed by Woronowicz, were q -deformations G_q of classical Lie groups G . The idea is as follows: consider the commutative algebra $A = C(G)$. For a suitable choice of generating “coordinates” of this algebra, replace commutativity by the q -commutation relations $ab = qba$, where $q > 0$ is a parameter. In this way one obtains an algebra $A_q = C(G_q)$, where G_q is a quantum group. When $q = 1$ one then recovers the classical group G .

For $G = O_n, U_n, S_n$ it was later discovered by Wang [19], [20] that one can also obtain compact quantum groups by “removing” the commutation relations entirely. In this way one obtains “free” versions O_n^+, U_n^+, S_n^+ of these classical groups. This construction has been axiomatized in [11] in terms of the “easiness” condition for compact quantum groups, and has led to several applications in probability. See [9], [10].

It is clear from the construction that one has $G \subset G^+$ for $G = O_n, U_n, S_n$. Since G^+ can be viewed a “liberation” of G , it is natural to wonder whether there are any intermediate quantum groups $G \subset G' \subset G^+$, which could be seen as “partial liberations” of G . For O_n, S_n this problem has been solved in the case of “easy” intermediate quantum groups [12], [8]. For S_n there are no intermediate easy quantum groups $S_n \subset G' \subset S_n^+$. However for O_n there is exactly one intermediate easy quantum group $O_n \subset O_n^* \subset O_n^+$, called the “half-liberated” orthogonal group, which was constructed in [11]. At the level of relations

2010 *Mathematics Subject Classification.* 16T05.

Key words and phrases. Orthogonal quantum group.

among coordinates, this is constructed by replacing the commutation relations $ab = ba$ with the half-commutation relations $abc = cba$.

In the larger category of compact quantum groups it is an open problem whether there are intermediate quantum groups $S_n \subset G \subset S_n^+$, or $O_n \subset G \subset O_n^+$ with $G \neq O_n^*$. This is an important question for better understanding the “liberation” procedure of [11]. At $n = 4$ (the smallest value at which $S_n \neq S_n^+$), it follows from the results in [5] that the inclusion $S_n \subset S_n^+$ is indeed maximal, and it was conjectured in [6] that this is the case, for any $n \in \mathbb{N}$. Likewise the inclusion $O_n \subset O_n^* \subset O_n^+$ is known to be maximal at $n = 2$, thanks to the results of Podleś in [16]. In general it is likely that these two problems are related to each other via combinatorial invariants [12] or cocycle twists [7].

In this paper we make some progress towards solving this problem in the orthogonal case, by showing that the inclusion $O_n \subset O_n^*$ is maximal. A key tool in our analysis will be the fact the “projective version” of O_n^* is the same as that of the classical unitary group U_n . By using a version of the five lemma for cosemisimple Hopf algebras (following ideas from [1], [3]), we are thus able to reduce the problem to showing that the inclusion of groups $PO_n \subset PU_n$ is maximal. We then solve this problem by using some Lie algebra techniques inspired from [4], [14].

The paper is organized as follows: Section 1 contains background and preliminaries. In Section 2 we prove that $PO_n \subset PU_n$ is maximal. In Section 3 we prove a short five lemma for cosemisimple Hopf algebras, which may be of independent interest. We then use this in Section 4 to prove our main result, namely that $O_n \subset O_n^*$ is maximal.

Acknowledgements. We thank Alexandru Chirvasitu for some discussions on Section 3. Part of this work was completed during the Spring 2011 program “Bialgebras in free probability” at the Erwin Schrödinger Institute in Vienna, and T.B., B.C., S.C. are grateful to the organizers for the invitation. The work of T.B., J.B., B.C. was supported by the ANR grants “Galoisint” and “Granma”, the work of B.C. was supported by an NSERC Discovery grant and an ERA grant, and the work of S.C. was supported by an NSF postdoctoral fellowship and by the NSF grant DMS-0900776.

1. ORTHOGONAL QUANTUM GROUPS

In this section we briefly recall the free and half-liberated orthogonal quantum groups from [19], [11], and the notion of “projective version” for a unitary compact quantum group. We will work at the level of Hopf $*$ -algebras of representative functions.

First we have the following fundamental definition, arising from Woronowicz’ work [21].

Definition 1.1. *A unitary Hopf algebra is a $*$ -algebra A which is generated by elements $\{u_{ij} | 1 \leq i, j \leq n\}$ such that $u = (u_{ij})$ and $\bar{u} = (u_{ij}^*)$ are unitaries, and such that:*

- (1) *There is a $*$ -algebra map $\Delta : A \rightarrow A \otimes A$ such that $\Delta(u_{ij}) = \sum_{k=1}^n u_{ik} \otimes u_{kj}$.*
- (2) *There is a $*$ -algebra map $\varepsilon : A \rightarrow \mathbb{C}$ such that $\varepsilon(u_{ij}) = \delta_{ij}$.*
- (3) *There is a $*$ -algebra map $S : A \rightarrow A^{op}$ such that $S(u_{ij}) = u_{ji}^*$.*

If $u_{ij} = u_{ij}^*$ for $1 \leq i, j \leq n$, we say that A is an orthogonal Hopf algebra.

It follows that Δ, ε, S satisfy the usual Hopf algebra axioms. The motivating examples of unitary (resp. orthogonal) Hopf algebra is $A = \mathcal{R}(G)$, the algebra of representative function of a compact subgroup $G \subset U_n$ (resp. $G \subset O_n$). Here the standard generators u_{ij} are the coordinate functions which take a matrix to its (i, j) -entry.

In fact every commutative unitary Hopf algebra is of the form $\mathcal{R}(G)$ for some compact group $G \subset U_n$. In general we use the suggestive notation “ $A = \mathcal{R}(G)$ ” for any unitary (resp. orthogonal) Hopf algebra, where G is a *unitary (resp. orthogonal) compact quantum group*. Of course any group-theoretic statements about G must be interpreted in terms of the Hopf algebra A .

It can be shown that a unitary Hopf algebra has an enveloping C^* -algebra, satisfying Woronowicz’ axioms in [21]. In general there are several ways to complete a unitary Hopf algebra into a C^* -algebra, but in this paper we will ignore this problem and work at the level of unitary Hopf algebras.

The following examples of Wang [19] are fundamental to our considerations.

Definition 1.2. *The universal unitary Hopf algebra $A_u(n)$ is the universal $*$ -algebra generated by elements $\{u_{ij} | 1 \leq i, j \leq n\}$ such that the matrices $u = (u_{ij})$ and $\bar{u} = (u_{ij}^*)$ in $M_n(A_u(n))$ are unitaries.*

The universal orthogonal Hopf algebra $A_o(n)$ is the universal $$ -algebra generated by self-adjoint elements $\{u_{ij} | 1 \leq i, j \leq n\}$ such that the matrix $u = (u_{ij})_{1 \leq i, j \leq n}$ in $M_n(A_o(n))$ is orthogonal.*

The existence of the Hopf algebra structural morphisms follows from the universal properties of $A_u(n)$ and $A_o(n)$. As discussed above, we use the notations $A_u(n) = \mathcal{R}(U_n^+)$ and $A_o(n) = \mathcal{R}(O_n^+)$, where U_n^+ is the *free unitary quantum group* and O_n^+ is the *free orthogonal quantum group*.

Note that we have $\mathcal{R}(O_n^+) \twoheadrightarrow \mathcal{R}(O_n)$, in fact $\mathcal{R}(O_n)$ is the quotient of $\mathcal{R}(O_n^+)$ by the relations that the coordinates u_{ij} commute. At the level of quantum groups, this means that we have an inclusion $O_n \subset O_n^+$.

In other words, $\mathcal{R}(O_n^+)$ is obtained from $\mathcal{R}(O_n)$ by “removing commutativity” among the coordinates u_{ij} . It was discovered in [11] that one can obtain a natural orthogonal quantum group by requiring instead that the coordinates “half-commute”.

Definition 1.3. *The half-liberated orthogonal Hopf algebra $A_o^*(n)$ is the universal $*$ -algebra generated by self-adjoint elements $\{u_{ij} | 1 \leq i, j \leq n\}$ which half-commute in the sense that $abc = cba$ for any $a, b, c \in \{u_{ij}\}$, and such that the matrix $u = (u_{ij})_{1 \leq i, j \leq n}$ in $M_n(A_o^*(n))$ is orthogonal.*

The existence of the Hopf algebra structural morphisms again follows from the universal properties of $A_o^*(n)$. We use the notation $A_o^*(n) = \mathcal{R}(O_n^*)$, where O_n^* is the *half-liberated orthogonal quantum group*. Note that we have $\mathcal{R}(O_n^+) \twoheadrightarrow \mathcal{R}(O_n^*) \twoheadrightarrow \mathcal{R}(O_n)$, i.e. $O_n \subset$

$O_n^* \subset O_n^+$. As discussed in the introduction, our aim in this paper is to show that the inclusion $O_n \subset O_n^*$ is maximal. A key tool in our analysis will be the projective version of a unitary quantum group, which we now recall.

Definition 1.4. *The projective version of a unitary compact quantum group $G \subset U_n^+$ is the quantum group $PG \subset U_{n^2}^+$, having as basic coordinates the elements $v_{ij,kl} = u_{ik}u_{jl}^*$.*

In other words, $PR(G) = \mathcal{R}(PG) \subset \mathcal{R}(G)$ is the subalgebra generated by the elements $v_{ij,kl} = u_{ik}u_{jl}^*$. It is clearly a Hopf $*$ -subalgebra of $\mathcal{R}(G)$. In the case where $G \subset U_n$ is classical we recover of course the well-known formula $PG = G/(G \cap \mathbb{T})$, where $\mathbb{T} \subset U_n$ is the group of norm one multiples of the identity.

The following key result was proved in [12].

Theorem 1.5. *We have an isomorphism $PO_n^* \simeq PU_n$.*

Proof. First, thanks to the half-commutation relations between the standard coordinates on O_n^* , for any $a, b, c, d \in \{u_{ij}\}$ we have $abcd = cbad = cdab$. Thus the standard coordinates on the quantum group PO_n^* commute ($ab \cdot cd = cd \cdot ab$), so this quantum group is actually a classical group. A representation theoretic study, based on the diagrammatic results in [11], allows then to show this classical group is actually PU_n . See [12]. \square

Note that in fact the techniques developed in the present paper enable us to give a very simple proof of this theorem, avoiding the diagrammatic techniques from [11], [12]. See the last remark in Section 4.

2. CLASSICAL GROUP RESULTS

In this section we prove that the inclusion $PO_n \subset PU_n$ is maximal in the category of compact groups (we assume throughout the paper that $n \geq 2$, otherwise there is nothing to prove). We will see later on, in Sections 3 and 4 below, that this result can be “twisted”, in order to reach to the maximality of the inclusion $O_n \subset O_n^*$.

Let \tilde{O}_n be the group generated by O_n and $\mathbb{T} \cdot I_n$ (the group of multiples of identity of norm one). That is, \tilde{O}_n is the preimage of PO_n under the quotient map $U_n \rightarrow PU_n$. Let $\widetilde{SO}_n \subset \tilde{O}_n$ be the group generated by SO_n and $\mathbb{T} \cdot I_n$. Note that $\tilde{O}_n = \widetilde{SO}_n$ if n is odd, and if n is even then \tilde{O}_n has two connected components and \widetilde{SO}_n is the component containing the identity.

It is a classical fact that a compact matrix group is a Lie group, so \widetilde{SO}_n is a Lie group. Let \mathfrak{so}_n (resp. \mathfrak{u}_n) be the real Lie algebras of SO_n (resp. U_n). It is known that \mathfrak{u}_n consists of the matrices $M \in M_n(\mathbb{C})$ satisfying $M^* = -M$, and $\mathfrak{so}_n = \mathfrak{u}_n \cap M_n(\mathbb{R})$. It is easy to see that the Lie algebra of \widetilde{SO}_n is $\mathfrak{so}_n \oplus i\mathbb{R}$.

First we need the following lemma:

Lemma 2.1. *If $n \geq 2$, the adjoint representation of SO_n on the space of real symmetric matrices of trace zero is irreducible.*

Proof. Let $X \in M_n(\mathbb{R})$ be symmetric with trace zero, and let V be the span of $\{UXU^t : U \in SO_n\}$. We must show that V is the space of all real symmetric matrices of trace zero.

First we claim that V contains all diagonal matrices of trace zero. Indeed, since we may diagonalize X by conjugating with an element of SO_n , V contains some non-zero diagonal matrix of trace zero. Now if $D = \text{diag}(d_1, d_2, \dots, d_n)$ is a diagonal matrix in V , then by conjugating D by

$$\begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & I_{n-2} \end{pmatrix} \in SO_n$$

we have that V also contains $\text{diag}(d_2, d_1, d_3, \dots, d_n)$. By a similar argument we see that for any $1 \leq i, j \leq n$ the diagonal matrix obtained from D by interchanging d_i and d_j lies in V . Since S_n is generated by transpositions, it follows that V contains any diagonal matrix obtained by permuting the entries of D . But it is well-known that this representation of S_n on diagonal matrices of trace zero is irreducible, and hence V contains all such diagonal matrices as claimed.

Now if Y is any real symmetric matrix of trace zero, we can find a U in SO_n such that UYU^t is a diagonal matrix of trace zero. But we then have $UYU^t \in V$, and hence also $Y \in V$ as desired. \square

Proposition 2.2. *The inclusion $\widetilde{SO}_n \subset U_n$ is maximal in the category of connected compact groups.*

Proof. Let G be a connected compact group satisfying $\widetilde{SO}_n \subset G \subset U_n$. Then G is a Lie group, let \mathfrak{g} denote its Lie algebra, which satisfies $\mathfrak{so}_n \oplus i\mathbb{R} \subset \mathfrak{g} \subset \mathfrak{u}_n$.

Let ad_G be the action of G on \mathfrak{g} obtained by differentiating the adjoint action of G on itself. This action turns \mathfrak{g} into a G -module. Since $SO_n \subset G$, \mathfrak{g} is also an SO_n -module.

Now if $G \neq \widetilde{SO}_n$, then since G is connected we must have $\mathfrak{so}_n \oplus i\mathbb{R} \neq \mathfrak{g}$. It follows from the real vector space structure of the Lie algebras \mathfrak{u}_n and \mathfrak{so}_n that there exists a non-zero symmetric real matrix of trace zero X such that $iX \in \mathfrak{g}$.

But by Lemma 2.1 the space of symmetric real matrices of trace zero is an irreducible representation of SO_n under the adjoint action. So \mathfrak{g} must contain all such X , and hence $\mathfrak{g} = \mathfrak{u}_n$. But since U_n is connected, it follows that $G = U_n$. \square

Our aim is to extend this result to the category of compact groups. To do this we need to compute the *normalizer* of \widetilde{SO}_n in U_n , i.e. the subgroup of U_n consisting of unitary U for which $U^{-1}XU \in \widetilde{SO}_n$ for all $X \in \widetilde{SO}_n$. For this we need two lemmas.

Lemma 2.3. *The commutant of SO_n in $M_n(\mathbb{C})$, denoted SO'_n , is as follows:*

- (1) $SO'_2 = \left\{ \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix}, \alpha, \beta \in \mathbb{C} \right\}$.
- (2) If $n \geq 3$, $SO'_n = \{\alpha I_n, \alpha \in \mathbb{C}\}$.

Proof. At $n = 2$ this is a direct computation. For $n \geq 3$, an element in $X \in SO'_n$ commutes with any diagonal matrix having exactly $n - 2$ entries equal to 1 and two entries equal to -1 . Hence X is a diagonal matrix. Now since X commutes with any even permutation matrix and $n \geq 3$, it commutes in particular with the permutation matrix associated with the cycle (i, j, k) for any $1 < i < j < k$, and hence all the entries of X are the same: we conclude that X is a scalar matrix. \square

Lemma 2.4. *The set of matrices with non-zero trace is dense in SO_n .*

Proof. At $n = 2$ this is clear since the set of elements in SO_2 having a given trace is finite. Assume that $n > 2$ and let $T \in SO_n \simeq SO(\mathbb{R}^n)$ with $Tr(T) = 0$. Let $E \subset \mathbb{R}^n$ be a 2-dimensional subspace preserved by T and such that $T|_E \in SO(E)$. Let $\epsilon > 0$ and let $S_\epsilon \in SO(E)$ with $\|T|_E - S_\epsilon\| < \epsilon$ and $Tr(T|_E) \neq Tr(S_\epsilon)$ ($n = 2$ case). Now define $T_\epsilon \in SO(\mathbb{R}^n) = SO_n$ by $T_\epsilon|_E = S_\epsilon$ and $T_\epsilon|_{E^\perp} = T|_{E^\perp}$. It is clear that $\|T - T_\epsilon\| \leq \|T|_E - S_\epsilon\| < \epsilon$ and that $Tr(T_\epsilon) = Tr(S_\epsilon) + Tr(T|_{E^\perp}) \neq 0$. \square

Proposition 2.5. *\tilde{O}_n is the normalizer of \tilde{SO}_n in U_n .*

Proof. It is clear that \tilde{O}_n normalizes \tilde{SO}_n , so we must show that if $U \in U_n$ normalizes \tilde{SO}_n then $U \in \tilde{O}_n$. First note that U normalizes SO_n . Indeed if $X \in SO_n$ then $U^{-1}XU \in \tilde{SO}_n$, so $U^{-1}XU = \lambda Y$ for $\lambda \in \mathbb{T}$ and $Y \in SO_n$. If $Tr(X) \neq 0$, we have $\lambda \in \mathbb{R}$ and hence $\lambda Y = U^{-1}XU \in SO_n$. The set of matrices having non-zero trace is dense in SO_n by Lemma 2.4, so since SO_n is closed and the matrix operations are continuous, we conclude that $U^{-1}XU \in SO_n$ for all $X \in SO_n$.

Thus for any $X \in SO_n$, we have $(UXU^{-1})^t(UXU^{-1}) = I_n$ and hence $X^tU^tUX = U^tU$. This means that $U^tU \in SO'_n$. Hence if $n \geq 3$, we have $U^tU = \alpha I_n$ by Lemma 2.3, with $\alpha \in \mathbb{T}$ since U is unitary. Hence we have $U = \alpha^{1/2}(\alpha^{-1/2}U)$ with $\alpha^{-1/2}U \in O_n$, and $U \in \tilde{O}_n$. If $n = 2$, Lemma 2.3 combined with the fact that $(U^tU)^t = U^tU$ gives again that $U^tU = \alpha I_2$, and we conclude as in the previous case. \square

We can now extend Proposition 2.2 as follows.

Proposition 2.6. *The inclusion $\tilde{O}_n \subset U_n$ is maximal in the category of compact groups.*

Proof. Suppose that $\tilde{O}_n \subset G \subset U_n$ is a compact group such that $G \neq U_n$. It is a well known fact that the connected component of the identity in G is a normal subgroup, denoted G_0 . Since we have $\tilde{SO}_n \subset G_0 \subset U_n$, by Proposition 2.2 we must have $G_0 = \tilde{SO}_n$. But since G_0 is normal in G , G normalizes \tilde{SO}_n and hence $G \subset \tilde{O}_n$ by Proposition 2.5. \square

We are now ready to state and prove the main result in this section.

Theorem 2.7. *The inclusion $PO_n \subset PU_n$ is maximal in the category of compact groups.*

Proof. It follows directly from the observation that the maximality of \tilde{O}_n in U_n implies the maximality of PO_n in PU_n . Indeed, if $PO_n \subset G \subset PU_n$ were an intermediate subgroup,

then its preimage under the quotient map $U_n \rightarrow PU_n$ would be an intermediate subgroup of $\tilde{O}_n \subset U_n$, contradicting Proposition 2.6. \square

3. A SHORT FIVE LEMMA

In this section we prove a short five lemma for cosemisimple Hopf algebras (Theorem 3.4 below), which is a result having its own interest, to be used in Section 4 below.

Definition 3.1. *A sequence of Hopf algebra maps*

$$\mathbb{C} \rightarrow B \xrightarrow{i} A \xrightarrow{p} L \rightarrow \mathbb{C}$$

is called *pre-exact* if i is injective, p is surjective and $i(B) = A^{cop}$, where:

$$A^{cop} = \{a \in A \mid (id \otimes p)\Delta(a) = a \otimes 1\}$$

The example that we are interested in is as follows.

Proposition 3.2. *Let A be an orthogonal Hopf algebra with generators u_{ij} . Assume that we have surjective Hopf algebra map $p : A \rightarrow \mathbb{C}\mathbb{Z}_2$, $u_{ij} \rightarrow \delta_{ij}g$, where $\langle g \rangle = \mathbb{Z}_2$. Let PA be the projective version of A , i.e. the subalgebra generated by the elements $u_{ij}u_{kl}$ with the inclusion $i : PA \subset A$. Then the sequence*

$$\mathbb{C} \rightarrow PA \xrightarrow{i} A \xrightarrow{p} \mathbb{C}\mathbb{Z}_2 \rightarrow \mathbb{C}$$

is *pre-exact*.

Proof. We have:

$$(id \otimes p)\Delta(u_{i_1 j_1} \dots u_{i_m j_m}) = \begin{cases} u_{i_1 j_1} \dots u_{i_m j_m} \otimes 1 & \text{if } m \text{ is even} \\ u_{i_1 j_1} \dots u_{i_m j_m} \otimes g & \text{if } m \text{ is odd} \end{cases}$$

Thus A^{cop} is the span of monomials of even length, which is clearly PA . \square

A pre-exact sequence as in Definition 3.1 is said to be exact [2] if in addition we have $i(B)^+ A = \ker(\pi) = Ai(B)^+$, where $i(B)^+ = i(B) \cap \ker(\varepsilon)$. The pre-exact sequence in Proposition 3.2 is actually exact, but we only need its pre-exactness in what follows.

In order to prove the short five lemma, we use the following well-known result. We give a proof for the sake of completeness.

Lemma 3.3. *Let $\theta : A \rightarrow A'$ be a Hopf algebra morphism with A, A' cosemisimple and let $h_A, h_{A'}$ be the respective Haar integrals of A, A' . Then θ is injective iff $h_{A'}\theta = h_A$.*

Proof. For $a \in A$, we have:

$$\theta(h_{A'}(\theta(a_1))a_2) = h_{A'}(\theta(a)_1)\theta(a)_2 = \theta(h_A\theta(a)1)$$

Thus if θ is injective then $h_{A'}\theta$ is a Haar integral on A , and the result follows from the uniqueness of the Haar integral.

Conversely, assume that $h_A = h_{A'}\theta$. Then for all $a, b \in A$, we have $h_A(ab) = h_{A'}(\theta(a)\theta(b))$, so if $\theta(a) = 0$, we have $h_A(ab) = 0$ for all $b \in A$. It follows from the orthogonality relations that $a = 0$, and hence θ is injective. \square

Theorem 3.4. *Consider a commutative diagram of cosemisimple Hopf algebras*

$$\begin{array}{ccccccccc} k & \longrightarrow & B & \xrightarrow{i} & A & \xrightarrow{\pi} & L & \longrightarrow & k \\ & & \parallel & & \downarrow \theta & & \parallel & & \\ k & \longrightarrow & B & \xrightarrow{i'} & A' & \xrightarrow{\pi'} & L & \longrightarrow & k \end{array}$$

where the rows are pre-exact. Then θ is injective.

Proof. We have to show that $h_A = h_{A'}\theta$, where $h_A, h_{A'}$ are the respective Haar integrals of A, A' . Let Λ be the set of isomorphism classes of simple L -comodules and consider the Peter-Weyl decomposition of L :

$$L = \bigoplus_{\lambda \in \Lambda} L(\lambda)$$

We view A as a right L -comodule via $(id \otimes \pi)\Delta$. Then A has a decomposition into isotypic components as follows, where $A_\lambda = \{a \in A \mid (id \otimes \pi) \circ \Delta(a) \in A \otimes L(\lambda)\}$:

$$A = \bigoplus_{\lambda \in \Lambda} A_\lambda$$

It is clear that $A_1 = A^{co\pi}$. Then if $\lambda \neq 1$, we have $h_A(A_\lambda) = 0$. Indeed for $a \in A_\lambda$, we have:

$$a_1 \otimes \pi(a_2) \in A \otimes L(\lambda) \implies h_A(a)1 = \pi(h_A(a_1)a_2) \in L(\lambda) \implies h_A(a) = 0$$

Since $\pi'\theta = \pi$, it is easy to see that $\theta(A_\lambda) \subset A'_\lambda$ and hence for $\lambda \neq 1$, $h_{A'}\theta|_{A_\lambda} = h_{A'}\theta|_{A_\lambda} = 0 = h_A|_{A_\lambda}$. For $\lambda = 1$, we have $i(B) = A_1$ and θ is injective on $i(B)$ since $\theta i = i'$. Hence by Lemma 3.3 we have $h_{A'}\theta|_{A_1} = h_{A_1} = h_A|_{A_1}$. Since $A = \bigoplus_{\lambda \in \Lambda} A_\lambda$ we conclude $h_A = h_{A'}\theta$ and by Lemma 3.3 we get that θ is injective. \square

It follows from discussions with Alexandru Chirvasitu that the theorem can be improved by showing that θ is an isomorphism. Indeed, since L is assumed to be cosemisimple, A is automatically faithfully coflat as a left L -comodule, and hence by Theorem 1.4 in [18] $i : B \rightarrow A$ and $i' : B \rightarrow A'$ are L -Galois extensions with A and A' faithfully flat as left B -modules. Since $\theta : A \rightarrow A'$ is an L -colinear algebra map, it follows from Remark 3.11 in [17] that θ is an isomorphism.

4. THE MAIN RESULT

We have now all the ingredients for stating and proving our main result in this paper.

Theorem 4.1. *The inclusion $O_n \subset O_n^*$ is maximal in the category of compact quantum groups.*

Proof. Consider a sequence of surjective Hopf $*$ -algebra maps as follows, whose composition is the canonical surjection:

$$A_o^*(n) \xrightarrow{f} A \xrightarrow{g} \mathcal{R}(O_n)$$

By Proposition 3.2 we get a commutative diagram of Hopf algebra maps with pre-exact rows:

$$\begin{array}{ccccccccc} \mathbb{C} & \longrightarrow & PA_o^*(n) & \xrightarrow{i_1} & A_o^*(n) & \xrightarrow{p_1} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \\ & & \downarrow f_1 & & \downarrow f & & \parallel & & \\ \mathbb{C} & \longrightarrow & PA & \xrightarrow{i_2} & A & \xrightarrow{p_2} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \\ & & \downarrow g_1 & & \downarrow g & & \parallel & & \\ \mathbb{C} & \longrightarrow & PR(O_n) & \xrightarrow{i_3} & \mathcal{R}(O_n) & \xrightarrow{p_3} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \end{array}$$

Consider now the following composition, with the isomorphism on the left coming from Theorem 1.5:

$$\mathcal{R}(PU_n) \simeq PA_o^*(n) \xrightarrow{f_1} PA \xrightarrow{g_1} PR(O_n) \simeq \mathcal{R}(PO_n)$$

This induces, at the group level, the embedding $PO_n \subset PU_n$. By Theorem 2.7 f_1 or g_1 is an isomorphism. If f_1 is an isomorphism we get a commutative diagram of Hopf algebra morphisms with pre-exact rows:

$$\begin{array}{ccccccccc} \mathbb{C} & \longrightarrow & PA_o^*(n) & \xrightarrow{i_1} & A_o^*(n) & \xrightarrow{p_1} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \\ & & \parallel & & \downarrow f & & \parallel & & \\ \mathbb{C} & \longrightarrow & PA_o^*(n) & \xrightarrow{i_2 \circ f_1} & A & \xrightarrow{p_2} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \end{array}$$

Then f is an isomorphism by Theorem 3.4. Similarly if g_1 is an isomorphism, then g is an isomorphism. \square

Observe that the technique in the proof of Theorem 4.1 also enables us to prove that $PO_n^* \simeq PU_n$ independently from [12]. Indeed, since $PA_o^*(n)$ is commutative, there exists a compact group G with $PA_o^*(n) \simeq \mathcal{R}(G)$ and $PO_n \subset G \subset PU_n$. Then Theorem 2.7 gives $G = PO_n$ or $G = PU_n$. If $G = PO_n$, then as in the proof of Theorem 4.1, Theorem 3.4 gives that $A_o^*(n) \twoheadrightarrow \mathcal{R}(O_n)$ is an isomorphism, which is false since $A_o^*(n)$ is not commutative if $n \geq 2$. Hence $G = PU_n$.

REFERENCES

- [1] N. Andruskiewitsch and J. Bichon, Examples of inner linear Hopf algebras, *Rev. Un. Mat. Argentina* **51** (2010), 7–18.
- [2] N. Andruskiewitsch and J. Devoto, Extensions of Hopf algebras, *St. Petersburg Math. J.* **7** (1996), 17–52.

- [3] N. Andruskiewitsch and G.A. Garcia, Quantum subgroups of a simple quantum group at roots of 1, *Compos. Math.* **145** (2009), 476–500.
- [4] F. Antoneli, M. Forger and P. Gaviria, Maximal subgroups of compact Lie groups, [arxiv:0605784](https://arxiv.org/abs/0605784).
- [5] T. Banica and J. Bichon, Quantum groups acting on 4 points, *J. Reine Angew. Math.* **626** (2009), 74–114.
- [6] T. Banica, J. Bichon and B. Collins, Quantum permutation groups: a survey, *Banach Center Publ.* **78** (2007), 13–34.
- [7] T. Banica, J. Bichon and S. Curran, Quantum automorphisms of twisted group algebras and free hypergeometric laws, *Proc. Amer. Math. Soc.* **139** (2011), 3961–3971.
- [8] T. Banica, S. Curran and R. Speicher, Classification results for easy quantum groups, *Pacific J. Math.* **247** (2010), 1–26.
- [9] T. Banica, S. Curran and R. Speicher, Stochastic aspects of easy quantum groups, *Probab. Theory Related Fields* **149** (2011), 435–462.
- [10] T. Banica, S. Curran and R. Speicher, De Finetti theorems for easy quantum groups, *Ann. Probab.*, to appear.
- [11] T. Banica and R. Speicher, Liberation of orthogonal Lie groups, *Adv. Math.* **222** (2009), 1461–1501.
- [12] T. Banica and R. Vergnioux, Invariants of the half-liberated orthogonal group, *Ann. Inst. Fourier* **60** (2010), 2137–2164.
- [13] V. Drinfeld, Quantum groups, Proc. ICM Berkeley (1986), 798–820.
- [14] E.B. Dynkin, Maximal subgroups of the classical groups, *AMS Transl.* **6** (1957), 245–378.
- [15] M. Jimbo, A q -difference analogue of $U(\mathfrak{g})$ and the Yang-Baxter equation, *Lett. Math. Phys.* **10** (1985), 63–69.
- [16] P. Podleś, Symmetries of quantum spaces. Subgroups and quotient spaces of quantum $SU(2)$ and $SO(3)$ groups, *Comm. Math. Phys.* **170** (1995), 1–20.
- [17] H.J. Schneider, Principal homogeneous spaces for arbitrary Hopf algebras, *Israel J. Math.* **72** (1990), 167–195.
- [18] H. J. Schneider, Normal basis and transitivity of crossed products for Hopf algebras, *J. Algebra* **152** (1992), 289–312.
- [19] S. Wang, Free products of compact quantum groups, *Comm. Math. Phys.* **167** (1995), 671–692.
- [20] S. Wang, Quantum symmetry groups of finite spaces, *Comm. Math. Phys.* **195** (1998), 195–211.
- [21] S.L. Woronowicz, Compact matrix pseudogroups, *Comm. Math. Phys.* **111** (1987), 613–665.
- [22] S.L. Woronowicz, Tannaka-Krein duality for compact matrix pseudogroups. Twisted $SU(N)$ groups, *Invent. Math.* **93** (1988), 35–76.

T.B.: DEPARTMENT OF MATHEMATICS, CERGY-PONTOISE UNIVERSITY, 95000 CERGY-PONTOISE, FRANCE. teodor.banica@u-cergy.fr

J.B.: DEPARTMENT OF MATHEMATICS, CLERMONT-FERRAND UNIVERSITY, CAMPUS DES CEZEAUX, 63177 AUBIERE CEDEX, FRANCE. bichon@math.univ-bpclermont.fr

B.C.: DEPARTMENT OF MATHEMATICS, LYON 1 UNIVERSITY, AND UNIVERSITY OF OTTAWA, 585 KING EDWARD, OTTAWA, ON K1N 6N5, CANADA. bcollins@uottawa.ca

S.C.: DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, LOS ANGELES, CA 90095, USA. curransr@math.ucla.edu